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Attorney Docket No. SURR.86

# 4P/4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: NATAN

SERIAL NO.: 10/056,808

FILED: JANUARY 25, 2002

TITLE: SURFACE-ENHANCED  
SPECTROSCOPY-ACTIVE  
SANDWICH NANOPARTICLES

EXAMINER:

ART UNIT: 1743

CONFIRMATION NO.: 9379

Assistant Commissioner for Patents  
Washington, D.C. 20231  
Attn: Box Missing Parts

Dear Sir:

REPLY TO NOTICE TO FILE MISSING PARTS

1. The applicant hereby replies to the "Notice to File Missing Parts of Nonprovisional Application," mailed March 15, 2002. A copy of the Notice is enclosed. In reply to the Notice, the applicant submits:

- (a) the basic filing fee of \$370.00
- (b) surcharge fee of \$65.00;
- (c) additional claims fee of \$114.00;  
(28-20 = 8 X \$9.00 = \$72.00;  
4-3 = 1 X 42.00 = \$42.00;  
\$72.00 + \$42.00 = \$114.00)
- (d) assignment recordation fee of \$40.00;
- (e) a combined declaration and power of attorney signed by the inventor; and
- (f) assignment and assignment recordation form cover sheet.

2. Enclosed is a check for \$589.00. The check covers the filing fee (\$370.00), the surcharge (\$65.00), the additional claims fee (\$114.00), and the fee for recording the assignment (\$40.00). The foregoing fees are calculated as a small entity. Applicant claims small entity status. See 37 CFR 1.27.

37 CFR 1.8

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:  
Assistant Commissioner for Patents, Box Missing Parts, Washington, D.C. 20231 on May 15, 2002

Signature: Tracy E. Crispino

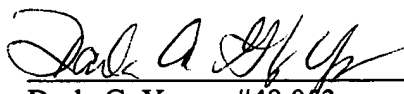
Name: Tracy E. Crispino

W

The undersigned hereby authorizes the charge of any required fees not included or any deficiency of fees submitted herewith to be charged to deposit account No. 19-5117.

Respectfully submitted,

Date: May 15, 2002

  
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cc: William L. Leschenksy, Esq.

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/056,808	01/25/2002	Michael J. Natan	SURR86

25871  
SWANSON & BRATSCHUN L.L.C.  
1745 SHEA CENTER DRIVE  
SUITE 330  
HIGHLANDS RANCH, CO 80129

CONFIRMATION NO. 9379

## FORMALITIES LETTER



\*OC000000007649698\*

Date Mailed: 03/15/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 370 to complete the basic filing fee for a small entity.*
- Total additional claim fee(s) for this application is \$114.
  - \$72 for 8 total claims over 20.
  - \$42 for 1 independent claims over 3.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 549.

*A copy of this notice MUST be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

15/06/2002 JEALINAN 00000009 10056808  
370.00 OP  
42.00 OP  
72.00 OP  
65.00 OP  
1 FC:201  
2 FC:202  
3 FC:203  
4 FC:205